

ASSAM BROOK LTD.

Scrip Code : 500025 Class of Security : Equity  
 Quarter ended: 30/09/2015

Partly paid-up shares:-	No of partly paid-up shares	As a % of Total No of partly paid-up shares	As a % of Total No of shares of the company
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Outstanding convertible securities:-	No of Outstanding securities	As a % of Total No of outstanding convertible securities	As a % of Total No of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Warrants:-	No of warrants	As a % of Total No of warrants	As a % of Total No of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
<b>Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities

7661242 Shares of Rs.10/- each



ASSAM BROOK LTD.

Scrip Code : 500025 Class of Security : Equity Face Value : Rs. 10/-  
 (I)(a) Statement showing Shareholding Pattern Quarter ended: 30/09/2015

Category Code (I)	Category of Shareholder (II)	No of Share-holders (III)	Total No of Shares (IV)	No of Shares held in Demat Form (V)	Total shareholding as a % of Tot Shrs		Shares pledged or otherwise encumbered		
					As a % of (A + B) (VI)	As a % of (A+B+C) (VII)	Shares (VIII)	As a % (IX)=(VIII)/(IV)*100	
C. Shares held by Custodian & against which Depository Receipts have been issued									
1. Promoter and Promoter Group		Nil	Nil	Nil					
2. Public		Nil	Nil	Nil					
Grand Total (A)+(B)+(C)		0077	7661242	4543591			100.0000		



ASSAM BROOK LTD.

Scrip Code : 500025 Name of the Scrip : [CSE] Class of Security : Equity Face Value : Rs. 10/-  
 (I)(a) Statement showing Shareholding Pattern Quarter ended: 30/09/2015

Category Code (I)	Category of Shareholder (II)	No of Share-holders (III)	Total No of Shares (IV)	No of Shares held in Demat Form (V)	Total shareholding as a % of Tot Shrs		Shares pledged or otherwise encumbered	
					As a % of (A + B) (VI)	As a % of (A+B+C) (VII)	Shares (VIII)	As a % (IX)=(VIII)/(IV)*100
<b>A. Promoter and Promoter Group</b>								
<b>1. Indian</b>								
	a. Individuals/Hindu Undivided Family							
	b. Central/State Government(s)							
	c. Bodies Corporate	1	1733155	1733155	22.6224	22.6224	Nil	Nil
	d. Financial Institutions/Banks							
	e. Any Other							
	<b>Sub Total (A1)</b>	<b>1</b>	<b>1733155</b>	<b>1733155</b>	<b>22.6224</b>	<b>22.6224</b>	<b>Nil</b>	<b>Nil</b>
<b>2. Foreign</b>								
	a. Individuals (NRI/Foreign Individuals)							
	b. Bodies Corporate							
	c. Institutions							
	d. Qualified Foreign Investor							
	e. Any other							
	<b>Sub Total (A2)</b>							
	<b>Total Shareholding of Promoter and Promoter Group A=(A)(1)+(A)(2)</b>	<b>1</b>	<b>1733155</b>	<b>1733155</b>	<b>22.6224</b>	<b>22.6224</b>	<b>Nil</b>	<b>Nil</b>
<b>B. Public Shareholding</b>								
<b>1. Institutions</b>								
	a. Mutual Funds/UTI	3	3300		.0431	.0431		
	b. Financial Institutions/Banks	10	1202075	475	15.6903	15.6903		
	c. Central/State Government(s)							
	d. Venture Capital Funds							
	e. Insurance Companies	4	188425	188425	2.4595	2.4595		
	f. Foreign Institutional Investors							
	g. Foreign Venture Capital Investors							
	h. Qualified Foreign Investor							
	i. Any Other	1	1500000		19.5791	19.5791		
	<b>Sub Total (B1)</b>	<b>18</b>	<b>2893800</b>	<b>188900</b>	<b>37.7719</b>	<b>37.7719</b>		
<b>2. Non-Institutions</b>								
	a. Bodies Corporate	139	307614	262343	4.0152	4.0152		
	b. Individuals holding							
	i. Nominal Share Capital up to Rs.1 Lakh	7856	2147526	1784346	28.051	28.051		
	ii. Nominal Share Capital in excess of Rs.1 Lakh	22	558970	558970	7.2961	7.2961		
	c. Qualified Foreign Investor							
	d. Any Other							
	Trusts	6	2775	2775	.0362	.0362		
	Foreign National	11	4300		.0561	.0561		
	Non Resident Individual	24	13102	13102	.171	.171		
	<b>Sub Total (B2)</b>	<b>8058</b>	<b>3034287</b>	<b>2621536</b>	<b>39.6057</b>	<b>39.6057</b>		
	<b>Total Public Shareholding B=(B)(1)+(B)(2)</b>	<b>8076</b>	<b>5928087</b>	<b>2810436</b>	<b>77.3776</b>	<b>77.3776</b>		
	<b>Total (A)+(B)</b>	<b>8077</b>	<b>7661242</b>	<b>4543591</b>	<b>100.0000</b>	<b>100.0000</b>		



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(I)(h) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category 'Promoter and Promoter Group'

Quarter ended 30/09/2015

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1. Indian

c. Bodies Corporate

		Details of Shares held			Encumbered shares		Details of warrants		Details of convertible securities		Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
Sr No	Name of the Shareholder	No of Shares held	As a % of Grand Total (A)+(B)+(C)	No. (V)	As a % (VI)= (V)/(III)*100	As a % of Grand Total (A)+(B)+(C) of sub clause (I)(a) (VII)	No of Warrants held (VIII)	As a % of total no of warrants of the same class (IX)	No of convertible securities held (X)	As a % of total no of convertible securities of the same class (XI)	(XII)
(I)	(II)	(III)	(IV)	(V)	(VI)= (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	SATYAM TRADING COMPANY LIMITED	1733155	22.6224		Nil	Nil	Nil	Nil	Nil	Nil	22.6224
<b>Total :</b>		<b>1733155</b>	<b>22.6224</b>		<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>22.6224</b>



(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category 'Public' and holding more than 1% of the total no of shares

Sr No	Name of the Shareholder	No of Shares held	Details of warrants		Details of convertible securities		Total shares (including total no of underlying shares convertible assuming full conversion of warrants and of the same convertible securities) as a % of diluted share capital	
			Shares as a % of total no of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above)	No of Warrants held	As a % of total no of Warrants of the same class	No of convertible securities held		% w.r.t total no of convertible securities of the same class
1	STRESSED ASSETS STABILIZATION FUND	1500000	19.5791	Nil	Nil	Nil	Nil	19.5791
2	BANK OF INDIA	1200000	15.6633	Nil	Nil	Nil	Nil	15.6633
3	NATIONAL INSURANCE COMPANY LTD	146150	1.9077	Nil	Nil	Nil	Nil	1.9077
4	TN INVESTMENTS LIMITED	125000	1.6316	Nil	Nil	Nil	Nil	1.6316
Total		2971150	38.7816	Nil	Nil	Nil	Nil	38.7816



(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category 'Public' and holding more than 5% of the total no of shares of the company

Sr	Name(s) of the Shareholder(s) and the Persons Acting in Concert (PAC) with them	No of Shares (a) above	Details of warrants		Details of convertible securities		Total shares (including convertible securities assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
			Shares as a % of total no of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)	No of Warrants	As a % of total no of Warrants of the same class	No of convertible securities held		% w.r.t total no of convertible securities of the same class
1	STRESSED ASSETS STABILIZATION FUND	1500000	19.5791	Nil	Nil	Nil	Nil	19.5791
2	BANK OF INDIA	1200000	15.6633	Nil	Nil	Nil	Nil	15.6633
	Total	2700000	35.2423	Nil	Nil	Nil	Nil	35.2423



Sl #	Folio/CIId/DpId	Name of the Shareholder	No of locked-in Shares	Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above	Locked-in shares as a % of total No of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Promoter/Promoter Group/ Public
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----- NIL -----



ASSAM BROOK LTD.

(II)(a) Statement showing details of Depository Receipts (DRs) as on 30/09/2015

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Sl #	Type of Outstanding DR (ADRs, GDRs, SDRs, etc.)	No of outstanding DRs	No of Shares Underlying Outstanding DRs	Shares underlying outstanding DRs as a % of total No of Shares (i.e., Grand Total (A)+ (B)+(C) indicated in Statement at para (I)(a) above)
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--- Nil ---

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(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total no of shares as on 30/09/2015

Sl # Name of the DR Holder	Type of Outstanding DR (ADRs, GDRs, SDRs, etc.)	No of Shares Underlying Outstanding DRs	Shares underlying outstanding DRs as a % of total No of Shares (i.e., Grand total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
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--- Nil ---



ASSAM BROOK LTD.

Physical / NSDL / CDSL Summary Report as on 30/09/2015

	Holdings	Shares	% age
Physical :	3388	3117651	40.6938
NSDL :	3149	2065572	26.9613
CDSL :	1540	2478019	32.3449
	8077	7661242	100.0000



**18. Public Shareholding**

- No of Shares : 5928087
  - % of shareholding : 77.3776
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**19. Promoters and Promoter Group Shareholding**

**a) Pledged/Encumbered**

- No of Shares : Nil
- % of shares : Nil  
(as a % of the total shareholding  
of promoter and promoter group)
- % of shares : Nil  
(as a % of the total share capital  
of the company)

**b) Non-encumbered**

- No of Shares : 1733155
  - % of shares : 100.0000  
(as a % of the total shareholding  
of promoter and promoter group)
  - % of shares : 22.6224  
(as a % of the total share capital  
of the company)
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