Format of holding of specified securities

- 1. Name of Listed Entity: ASSAM BROOK LTD. (CIN:L70109AS1947PLC009386)
- 2. Scrip Code 500025 Name of Scrip -,- Class of Security Equity
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2016
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

- * If the Listed Entity selects the option 'No' for the questions above, the columus for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.
- 5. The tabular format for disclosure of holding of specified securities is as follows:-



	I BROOK LTE					/						,						
Table I	 Summary St 	tatement ho	lding of specified	d securities as	on 31/12/2016	({	·						
					No. of shares	Total	Shareholding as a % of total no. of shares (calculated as per SCRR,		securiti		class of	Underlying outstanding convertible	Shareholding as a % assuming full conversion of convertible securities (as a percentage of	Number of shares	(XII)	Number of pledged or encumbe	otherwise red (XIII)	Number of equity shares held
			No. of fully	No.of Partly			1957)		of Voting Rig			securities	diluted share		As a % of		As a % of	in
	Category of		paid up equity	paid-up equity			(•,	Class eg:x	Class eg:y	Total	Total as a		capital)		total		total	dematerializ
Categ	shareholder	shareholde	shares held	shares held	Receipts	(VII)=(IV)+	As a % of					Warrants)	(XI)=(VII)+(X) As		shares		shares	ed form
ory (I)	(11)	rs (III)	(IV)	(V)	(VI)	(V)+(VI)	(A+B+C2)				(A+B+C)	(X)	a % of (A+B+C2)	No. (a)			held (b)	(XIV)
(A)	Promoter & Promoter group	1	1733155	0	0	1733155	14.5506	1733155	. 0	1733155	14.5506	0	14.5506	C	0.0000	O	0.0000	1733155
(B)	Public	8081	10178087	0	0	10178087	85.4494	10178087	0	10178087	85.4494	0	85.4494	- (0.0000	NA		2815361
(C)	Non Promoter - Non Public															NA		
` '	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts									· ·						NA		
	Total	8082	11911242	0	0	11911242	100.0000	11911242	0	11911242	100,0000		100.0000		<u> </u>	<u> </u>		4548516



ASSAM	BROOK LTD.									1										
	- Statement Showing shareholding	pattern of	the Pror	noter and P	romoter Grou	31/12/2	016		†			<u> </u>						<u> </u>		
	Salaman Shanning sharansianing	F				-(1					
	T			T			I		† ·····	Number of V	otina Riah	ts held in eac	.i th class of					Number of	shares	
			-							redifficer of v	securiti		A1 0.033 01		Shareholdin	Number of L	ocked in	pledged of		
										No.c	f Voting R				gasa%	charge (YII)		encumbered (XIII)		
										1100	Jungie	ingi ito	-		assuming full	Distance (Pan)		-	T,	
					No. of fully paid up equity	Partly paid-up	Nos. of shares underlying Depository	Total nos shares held	Sharehold ing % calculated as per SCRR. 1957) As a % of				Total as a % of Total	No of shares Underlying outstanding convertible securities (including	conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X		As a % of		As a % of total	Number of equity shares held in demateriali
1	Category & Name of the			sharehold	shares held	equity shares	Receipts	(VII)=(IV)+	(A+B+C2)				Voting	Warrants)) As a % of		total shares		shares	zed form
1	Shareholders (I)	PAN	(11)	ers (III)	(IV)	held (V)	(VI)	(V)+(VI)	(VIII)	Class x	Class y	Total	rights	(X)	A+B+C2)	No. (a)	held (b)	No. (a)	held (b)	(XIV)
(1)	Indian											1								
	Individuals/Hindu undivided											1								
(a)	Family												-							
	Central Government/State										 	†		1				1		
(b)	Government(s)							1										1		
ζ-/															T		_			
(c)	Financial Institutions/Banks										<u> </u>									
1,																				
(d)	Any Other (specify)]							
																<u> </u>				
	Bodies Corporate			1	1733155	0	(1733155	14.5506	1733155	5 (1733155	14.5506	6 (14.5 50 6	5	0.0000		0.0000	17331 <u>55</u>
											·	-	1					<u> </u>		
1	SATYAM TRADING COMPANY						l .						44.5505		44.5500		0 000		0.000	4700455
	LIMITED	AAFCS3	224C	1	1733155								14.5506				0.0000		0.0000	
<u> </u>	Sub Total			1	1733155	0	(1733155	14.5506	1733155) (1/33155	14.5506	·	14.5506		0.0000	1	0.000	1/33/33
(2)	Foreign			-		 		+				 	+	1		 	1	 	-	
(2)	Individuals (Non-Resident	+			 		 	 -			1	 	1	1	 	 		 	-	
(a)	Individuals/Foreign Individuals)												1		1					
<u>~,</u>	The state of the s												1							
(b)	Government						1													
<u> </u>																				
(c)	Institutions																			
							L											↓		
(d)	Foreign Portfolio Investor														<u> </u>			-		
														1	<u> </u>					
(e)	Any Other (specify)	ļ										1		4						-
	101711			<u> </u>	ļ <u> </u>				0.000				0.000	 	0.000		0 0000	\	0.000	<u> </u>
	Sub Total	 		0	0	0	ļ <u></u> '	0	0.0000) () (٠ ر	0.0000	<u>'</u>	0.0000	4	0.0000	<u> </u>	0.000	0
	Total Shareholding of Promoter	-		1	-				+	-	1								+	1
	and Promoter Group																			
	(A)=(A)(1)+(A)(2)	1		1	1733155	0	1 (0 1733155	14.5506	173315	5 (1733155	5 14. 550 6	5	0 14.5506	6	0.0000	D[(0.000	1733155



ASSA	M BROOK LTD.	·															T		
	II - Statement Showing shareholding	pattern of the F	ublic share	holder as on	2/2016		10				(
	(*)	aheshu R									ghts held in ead rities (IX) Rights	th class of		Shareholding as a % assuming full	Number of shares		pled oth encu	nber of nares lged or erwise mbered XIII)	
(1)	Category & Name of the Shareholders (i) Institutions	A SOILER	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	up equity	Nos. of shares underlying Depository Receipts (VI)	Total nos.shares held (VII)=(IV)+ (V)+(VI)	Sharehol ding % calculate d as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Class x	Class y	Total	Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (including Warrants) (X)	conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	No. (a)	As a % of total shares held (b)	appli cable	of total shares held (Not	Number of equity shares held in demateria lized form (XIV)
(a)	Mutual Funds / UTI		3	3300	0	0	3300	0.0277	3300	0	3300	0.0277		0.0277	0	0.0000	NA		0
(b)	Venture Capital Funds																_		
(c)	Alternate Investment Funds		1	1500000	0	0	1500000	12.5931	1500000	0	1500000	12.5931	C	12.5931	0	0.0000	NA		0
	STRESSED ASSETS STABILIZATION FUND		1	1500000	. 0	0	1500000	12.5931	1500000	0	1500000	12.5931		12.5931	0	0.0000	NA		0
<u>(d)</u>	Foreign Venture Capital Investors								`										
(e)	Foreign Portfolio Investors																		
(1)	Financial Institutions / Banks		15	5640500	0	0	5640500	47.3544	5640500	0	5640500	47.3544	0	47.3544	0	0.0000	NA		188900
-	ALLAHABAD BANK	AACCA8464F	1	4250000	0	0	4250000	35.6806	4250000	0	4250000	35.6806	- 0	35.6806	0	0.0000	NA		0
	BANK OF INDIA NATIONAL INSURANCE COMPANY LTD	AAACN9967E	1	1200000 146150	1			1.2270		0					0	0.0000	NA NA		146150
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		19	7143800	0	0	7143800	59.9753	7143800	0	7143800	59.9753		59.9753	0	0.0000	NA		188900
(2)	Central Government / State Government(s) / President of India																		
(3) (a)	Non-Institutions Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		7876	2297281	0	0	2297281	19.2867	2297281		229728	1 19.2867		19.2867	0	0.0000	NA		1938026
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		12	419450	0	0	419450	3.5215	419450	0	41945	3.5215		3.5215	0	0.0000	NA		419450
(b)	NBFCs registered with RBI		1	18		0	18	0.0002	18		1	0.0002		0.0002	0	0.0000	NA		18
(c)	Employee Trusts		<u> </u>									-							
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
		<u> </u>							1 of 2										

AS	SSAM BROOK LTD.																		
	ble III - Statement Showing shareholdin	g pattern of the F	Public share	holder as on	2/2016												_		
											ghts held in eac rities (IX) Rights	h class of		Shareholding as a %				nber of nares dged or erwise imbered XIII)	
	Category & Name of the Shareholders (I)	PAN (II)	sharehold	equity	up equity	underlying Depository Receipts	Total nos.shares held (VII)=(IV)+ (V)+(VI)	Sharehol ding % calculate d as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Class x	Class y	Total	% of Total Voting	No of shares Underlying outstanding convertible securities (including Warrants)	assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	No. (a)	As a % of total shares held (b)	No. (Not appli cable) (a)	of total shares held (Not	
(e)	Any Other (specify)																-		
E	Bodies Corporate		130	297961	0	0	297961	2.5015	297961	0	297961	2.5015		2.5015	0	0.0000	NA		252690
	TM INVESTMENTS LIMITED	AABCT0548G	1	125000	0	0	125000	1.0494	125000	0	125000	1.0494		1.0494	0_	0.0000	NA		125000
E	Clearing Member		1	100	0	0	100	0.0008	100	0	100	0.0008		0.0008	0	0.0000	NA		100
E	Non Resident Individual		25	12402	0	0	12402	0.1041	12402	0	12402	0.1041		0.1041	0	0.0000	NA		12402
	Trusts		6	2775	0	0	2775	0.0233	2775	0	2775	0.0233		0.0233	0	0.0000	NA		2775
	Foreign National		11	4300	0	0	4300	0.0361	4300	0	4300	0.0361		0.0361	0	0.0000	NA		1000
	Sub Total		8062	3034287	0		3034287	25. <u>474</u> 1	3034287	0	3034287	25.4741		25.4741	_0	0.0000	NA		2626461
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8081	10178087	0	0	10178087	85.4494	10178087	0	10178087	85.4494		85.4494	0	0.0000	NA		2815361



1.00																			
	AM BROOK LTD.				,						L								
Table	e Iv - Statement Showing shareholdin	g pattern of the	Non Promot	er - Non Pub	arehold	er as on 31/1	2/2016												
	Category & Name of the		1		Partly paid- up equity shares	Depository Receipts	(VII)=(IV)	of (A+B+C2	No	seculof Voting I		Total as a % of Total Voting	No of shares Underlying outstanding convertible securities (including Warrants)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of	Number of shares	As a % of total shares	sha pledg othe encur d (2) No. (Not applicable)	As a % of total share s held (Not appli cable	dematerializ ed form
	Shareholders (I)	PAN (II)	ers (III)	(IV)	held (V)	(VI)	+ (V)+(VI)) (VIII)	Class x	Class y	Total	rights	(X)	A+B+C2)	No. (a)	held (b)	(a)) (b)	(XIV)
(1)	Custodian / DR Holder											1			` '	`			
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
(2)	Denemi Regulations, 2014)	-	-			-	<u> </u>						ļ. .				-		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0		0.0000	0	0.0000	0	0.0000	NA NA	NA	0



ASSAM BROOK LTD.

Shareholding Summary as on 31/12/2016

Form	No of Records	No of Shares
PHYSICAL	3392	7362726
NSDL	3102	2052869
CDSL	1588	2495647
TOTAL	8082	11911242

